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on February 10, 2004

Frank C. Eisenschenk

Frank C. Eisenschenk, Ph.D., Patent Attorney

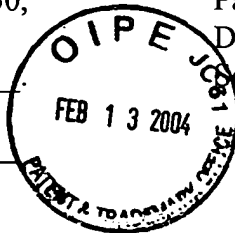
INFORMATION DISCLOSURE
STATEMENT

Examining Group 1614

Patent Application

Docket No. UF-389

Serial No. 10/700,156



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Not yet assigned)
Art Unit : 1614
Applicants : Nathan Andrew Shapira, Giselle D. Mann, April M. Annis,
Toby Doris Goldsmith
Serial No. : 10/700,156
Filed : November 3, 2003
For : Methods to Prevent or Ameliorate Medication-, Procedure- or Stress-Induced
Cognitive and Speech Dysfunction and Methods to Optimize Cognitive and
Speech Functioning

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed.

The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

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GPL/mv

Attachments: Form PTO/SB/08 (2 pages); copies of references cited therein.

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/700,156	
			Filing Date	November 3, 2003	
			First Named Inventor	Nathan Andrew Shapira	
			Group Art Unit	1614	
			Examiner Name		
Sheet	1	of	2	Attorney Docket Number	UF-389

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R1	DUGGLEBY, W. and LANDER, J. "Cognitive status and postoperative pain: older adults" <i>J. Pain Symptom Management</i> , 1994, 9(1):19-27.	
	R2	ELLIS, K. <i>et al.</i> "Post-craniectomy intracranial hypotension: potential impact on rehabilitation" <i>Brain Inj.</i> , 1998, 12(10):895-899.	
	R3	HADDOCK, C.K. <i>et al.</i> "Neurocognitive sequelae following coronary bypass graft. A research agenda for behavioral scientists" <i>Behav. Modif.</i> , 2003, 27(1):68-82.	
	R4	HEYER, E.J. <i>et al.</i> "A controlled prospective study of neuropsychological dysfunction following carotid endarterectomy" <i>Arch. Neurol.</i> , 2000, 59(2):217-222.	
	R5	HIRSCH, C.H. "When you patient needs surgery: how planning can avoid complications" <i>Geriatrics</i> , 1995, 50(2):39-44.	
	R6	KOURIDOU-PAPADELI, C. <i>et al.</i> "Maximum cognitive performance and physiological time trend measurements after caffeine intake" <i>Brain Cogn. Brain Res.</i> , 2002, 13(3):407-415.	
	R7	LANE, J. "Effect of brief caffeinated-beverage deprivation on mood, symptoms, and psychomotor performance" <i>Phar. Bio. and Beh.</i> , 1997, 58(1):203-208.	
	R8	LIEBERMAN, H.R. "The effects of ginseng, ephedrine, and caffeine on cognitive performance, mood, and energy" <i>Nutr. Rev.</i> , 2001, 59(4):91-201.	
	R9	MICHELSON, D. <i>et al.</i> "Atomoxetine in adults with ADHD: two randomized placebo controlled studies" <i>Biol. Psychiatry</i> , 2003:112-120.	
	R10	NEYLAN, T.C. <i>et al.</i> "Cortisol levels predict cognitive impairment induced by electroconvulsive therapy" <i>Biol. Psychiatry</i> 2001, 50(5):331-336.	
	R11	PETTY, F. <i>et al.</i> "Serotonin dysfunction disorders: a behavioral neurochemistry perspective" <i>J. Clin. Psychiatry</i> , 1996, 57 Supp 8:11-16.	
	R12	PHILLIPS, G.M. and SOKOLOFF, K.A. "An end to anxiety treating speech problems with rhetoritherapy" <i>J. Commun. Disord.</i> , 1979, 12(5):385-397.	
	R13	PLISZKA, S.R. "Non-stimulant treatment of attention-deficit/hyperactivity disorder" <i>CNS Spectr.</i> , 2003, 8(4):253-258.	

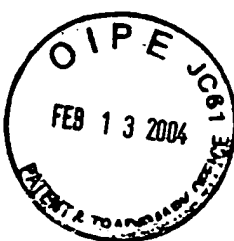
Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

2

Application Number

10/700,156

Filing Date

November 3, 2003

First Named Inventor

Nathan Andrew Shapira

Group Art Unit

1614

Examiner Name

Attorney Docket Number

UF-389

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R14	SCHANEBERG, B.T. <i>et al.</i> "The role of chemical fingerprinting: application to ephedra: phytochemistry" _____, 2003, 62(6):911-918.	
	R15	SMIT, H.J. and ROGERS, P.J. "Effects of low doses of caffeine on cognitive performance, mood and thirst in low and higher caffeine consumers" <i>Psychopharmacology</i> , 2000, 152(2):167-173.	
	R16	VAN DER HOOFT, C.S. and STRICKER, B.H. "Ephedrine and ephedra in weight loss products and other preparations" <i>Ned Tijdschr Geneesk.</i> , 2002, 146(28):13335-13336.	
	R17		
	R18		
	R19		
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	R22		
	R23		
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